CollegeCounts℠ Alabama's 529 Fund

# Change of Investment Option Form

**Return this Form to:**
CollegeCounts 529 Fund
P.O. Box 85290
Lincoln, NE 68501

**Overnight Mail:**
CollegeCounts 529 Fund
6811 South 27th Street
Lincoln, NE 68512

**Complete This Form or Log Into Your Account at CollegeCounts529.com to Change Investment Portfolios.**

If you have questions, please call us at **866.529.2228,** Monday–Friday, 7 a.m. to 7 p.m. (Central).

## 1. Current Account Information

Account Number: ______________________________

Account Owner Name (First, M.I., Last): ______________________________

Daytime Phone Number: ____________________ Evening Phone Number: ____________________

Beneficiary Name (First, M.I., Last): ______________________________

Beneficiary Date of Birth (MM/DD/YYYY): ______________________________

## 2. New Investment Portfolios

**Check only one box: A, B, or C**

(Your total Account balance will be transferred to the Portfolio(s) you select below AND all future contributions will be invested in the Portfolios you select below.)

☐ **A. Age-Based Portfolios** (If you've checked box A, ***select one*** of the following)

☐ Aggressive Portfolio ☐ Moderate Portfolio ☐ Conservative Portfolio

☐ **B. Target Portfolios** (If you've checked box B, ***select one*** of the following)

☐ Fund 100 ☐ Fund 80 ☐ Fund 60 ☐ Fund 40 ☐ Fund 20 ☐ Fixed Income Fund

☐ **C. Individual Fund Portfolios** (If you've checked box C, ***select any*** of the following [must total 100%, only whole percentages allowed])

**Money Market**

_______% Vanguard Prime Money Market 529 Portfolio

**Fixed Income**

_______% PIMCO Short-Term 529 Portfolio

_______% Vanguard Short-Term Bond Index 529 Portfolio

_______% Vanguard Total Bond Market Index 529 Portfolio

_______% Fidelity Advisor Investment Grade Bond 529 Portfolio

_______%MainStay Total Return Bond 529 Portfolio

_______% Vanguard Short-Term Inflation-Protected Securities Index 529 Portfolio

_______% Vanguard Inflation-Protected Securities 529 Portfolio

**Balanced**

_______% T. Rowe Price Balanced 529 Portfolio

**Real Estate**

_______% Vanguard Real Estate Index 529 Portfolio

**Domestic (U.S.) Equity**

Large-Cap

_______% Vanguard Value Index 529 Portfolio

_______% DFA U.S. Large Cap Value 529 Portfolio

_______% Vanguard 500 Index 529 Portfolio

_______% Vanguard Total Stock Market Index 529 Portfolio

_______% Vanguard Growth Index 529 Portfolio

_______% T. Rowe Price Large-Cap Growth 529 Portfolio

Mid-Cap

_______% Vanguard Mid-Cap Index 529 Portfolio

_______% Vanguard Extended Market Index 529 Portfolio

## 2. New Investment Portfolios (Continued)

Small-Cap

_______% Vanguard Small-Cap Value Index 529 Portfolio

_______% DFA U.S. Small Cap Value 529 Portfolio

_______% Vanguard Small-Cap Index 529 Portfolio

_______% Vanguard Small-Cap Growth Index 529 Portfolio

_______% Vanguard Explorer 529 Portfolio

**International Equity**

_______% Vanguard Total International Stock Index 529 Portfolio

_______% Dodge & Cox International Stock 529 Portfolio

## 3. Authorization

I hereby authorize and direct the change of Investment Portfolio(s) to the investments I selected in Section 2. **I acknowledge that my total Account balance will be transferred to the Portfolio(s) I selected in Section 2 AND all future Contributions will be invested in the Portfolio(s) selected above.** I certify that all the information contained herein is true and correct and that I have read the Program Disclosure Statement and understand the rules and requirements governing investment changes.

**Signature and Date Required**

X ____________________________________________

Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee Date

____________________________________________

Print Name Here

____________________________________________

Title (if other than an individual)

**If the Account Owner is a trust and there is more than one trustee, the additional trustee must sign here.**

X ____________________________________________

Signature of Co-Trustee

____________________________________________

Print Name Here Date

Offered by the State of Alabama

UBT 529 Services a Division of UBT Union Bank & Trust Program Manager

July 2018