

# Change of Account Owner Form

**Return this Form to:**

CollegeCounts 529 Fund  
P.O. Box 85290  
Lincoln, NE 68501

**Overnight Mail:**

CollegeCounts 529 Fund  
6811 South 27th Street  
Lincoln, NE 68512

If you have questions, please call us at **866.529.2228**,  
Monday–Friday, 7:30 a.m. to 6:00 p.m. CT.

## 1. Current Account Information

Account Number: \_\_\_\_\_

Account Owner Name (First, M.I., Last): \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_

Evening Phone Number: \_\_\_\_\_

Name of Beneficiary (First, M.I., Last): \_\_\_\_\_

## 2. Reason for Transfer (Check one)

**Current Account Owner transferring ownership and all rights and interests to new Account Owner**

**Death of current Account Owner** (attach death certificate)

**UGMA/UTMA Minor attaining age of majority**

**Other** (specify): \_\_\_\_\_

## 3. Amount of Transfer to New Account Owner (Check one)

**Entire Balance** (the Account listed in Section 1 will be closed after the transfer)

**Partial Transfer:**

\$ \_\_\_\_\_ or \_\_\_\_\_%

## 4. New Account Owner Information

Legal Name (First, M.I., Last): \_\_\_\_\_

Social Security Number or Taxpayer Identification Number: \_\_\_\_\_

Date of Birth (MM/DD/YYYY): \_\_\_\_\_ Gender:  Male  Female

Residency Status:  U.S. Citizen  U.S. Resident Alien

Street Address (no P.O. Boxes): \_\_\_\_\_

City, State, ZIP: \_\_\_\_\_

Mailing Address (if different from above): \_\_\_\_\_

City, State, ZIP: \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**5. Successor Account Owner**

(Not permitted for UGMA/UTMA Accounts)

- **The new Account Owner named in Section 4 may name a Successor Account Owner to take control of the Account in the event that the Account Owner dies or becomes legally incompetent.**

Legal Name (First, M.I., Last): \_\_\_\_\_

Social Security Number or Taxpayer Identification Number: \_\_\_\_\_

Date of Birth (MM/DD/YYYY): \_\_\_\_\_

**6. New Account Owner Authorization and Signature**

**Important Information About Procedures for Opening a New Account:** To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, you must provide your name, address, date of birth, Social Security number or Taxpayer Identification Number, and other information that will allow us to identify you.

**By signing below, I understand and hereby certify that:**

I have received and consent and agree to all the terms and conditions of the Program Disclosure Statement, including all fees and expenses; the Account Agreement; and, this Change of Account Owner Form and agree to be bound by their terms and all amendments.

I understand that the above-referenced Account will continue to have the same Beneficiary designation and same Investment Portfolio Selection as currently on file with CollegeCounts. As the new Account Owner I understand that I may make a change in any of the foregoing by completing the proper plan paperwork.

I understand each Account established herein is governed by an arbitration clause, which is set forth in Section 12 of the Account Agreement. I acknowledge receiving a copy of the arbitration clause.

I am at least 19 years of age and of full legal age in the state in which I reside.

I acknowledge that Accounts established under the CollegeCounts 529 Fund and their earnings are not insured by the Federal Deposit Insurance Corporation (FDIC) or any other governmental agency; are not a deposit or other obligation of Union Bank & Trust Company; are not guaranteed by the State of Alabama, the State Treasurer of Alabama, the Board or Union Bank & Trust Company; and are subject to investment risk, including loss of principal.

I understand that it is the Program's policy to send one copy of the Program Disclosure Statement for all Accounts I am the Account Owner of. I understand this applies to all existing Accounts and any Accounts that I may open in the future. I consent to this policy.

I authorize Union Bank & Trust Company, its agents and affiliates, and the Trust to act on any instructions believed to be genuine and from me for any telephone, electronic and website services. Union Bank & Trust Company and the Trust use procedures designed to verify the authenticity of the Account Owner or Custodian. If these procedures are followed, Union Bank & Trust Company and the Trust will not be liable for any loss that may result from acting on unauthorized instructions. I understand that anyone who can properly identify my Account(s) can obtain information about my Account and can make telephone, electronic, or computer exchange and/or redemption, contribution or withdrawal transactions on my behalf.

If established with a Trust as Account Owner, by signing this Change of Account Owner Form the undersigned Trustee or Trustees certify that the provided trust agreement (or excerpts thereof) is a true copy of the current and valid legal document(s) and that there are no other Trustees of the Trust other than those listed in Section 4.

**CERTIFICATION. Under penalties of perjury, I certify:**

1. That the number shown on this form is my correct Taxpayer Identification Number, and that
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

**Signature and Date Required**

X \_\_\_\_\_  
Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee Date

\_\_\_\_\_  
Print Name Here

\_\_\_\_\_  
Title (if an entity other than an individual is establishing the Account)

**If the Account Owner is a trust and there is more than one trustee, the additional trustee must sign here.**

X \_\_\_\_\_  
Signature of Co-Trustee

\_\_\_\_\_  
Print Name Here

\_\_\_\_\_  
Date

## 7. Current Account Owner Signature

I certify that all of the information contained herein is true and correct. I direct the CollegeCounts 529 Fund to make the change of Account Owner indicated above.

Please have your signature Medallion guaranteed in Section 8.

### Signature of Current Account Owner Required

<b>X</b> _____	_____
Signature of Current Account Owner	Date
_____	
Print Name Here	
_____	
Title (if an entity other than an individual is establishing the Account)	

## 8. Medallion Signature Guarantee REQUIRED

### A Medallion Signature Guarantee is required:

1. For the signature in Section 7 if the Current Account Owner is requesting the change.
2. For the signature in Section 6 if the Current Account Owner is deceased and the New Account Owner is assuming ownership per the procedures outlined in the CollegeCounts 529 Fund Program Disclosure Statement.

MEDALLION SIGNATURE GUARANTEE

Note to Guarantor:  
Medallion imprints must be fully legible and must not be dated or annotated.

**Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee program.**

**(A NOTARY PUBLIC CANNOT PROVIDE A SIGNATURE GUARANTEE)**



Offered by the  
State of Alabama

UBT 529 Services a Division of

**UBT**  
Union Bank & Trust  
Program Manager